



LEGAL AND FINANCIAL ASPECTS

FCH 2 JU INFO DAY

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Legal officer



www.fch.europa.eu

OVERVIEW

1. LEGAL AND FINANCIAL FRAMEWORK
2. EVALUATION PROCESS AND FINANCIAL VIABILITY CHECK
3. DRAFTING THE PROPOSAL - PART B
 - 3.1 STRUCTURE
 - 3.2 THIRD PARTIES
 - 3.3 ETHICS
 - 3.4 IPR & DISSEMINATION AND EXPLOITATION PLAN

1. LEGAL AND FINANCIAL FRAMEWORK

HORIZON 2020 REGULATIONS:

1. REGULATION OF ESTABLISHMENT
2. RULES FOR PARTICIPATION

FCH 2 JU ANNUAL WORK PLAN 2017

GENERAL ANNEXES TO THE MAIN WORK PROGRAMME (A -> L)

1. MODEL GRANT AGREEMENT;
2. ANNOTATED GRANT
AGREEMENT;
3. CONSORTIUM AGREEMENT

2. THE EVALUATION PROCESS



2. EVALUATION PROCESS AND THE FINANCIAL VIABILITY CHECK

REGISTERING YOUR ORGANISATION

WHY REGISTER?

If you want to participate in a project proposal, your organisation needs to be registered and have a 9-digit Participant Identification Code (PIC).

HOW?

Via the Participant Portal: To register, you need to **login** in the Portal or, if you are a new user, [create your account](#).

WHAT NEXT?

 [Perform the Financial Viability Self-Check!](#)

The FCH 2 JU always checks the financial capacity of a project coordinator when the requested EU funding for the action is **equal or superior to EUR 500,000**



DON'T
FORGET!

WHERE?

<http://ec.europa.eu/research/participants/portal/desktop/en/organisations/lfv.html>

2. THE EVALUATION PROCESS

SUBMITTING A PROPOSAL



3. EVALUATION PROCESS

SUBMITTING A PROPOSAL

Participant Portal

<http://ec.europa.eu/research/participants/portal/desktop/en/home.html>

Funding Opportunities

H2020

FCH 2 JU – Call 2017

The screenshot displays the European Commission Participant Portal. The top navigation bar includes links for 'FUNDING OPPORTUNITIES', 'HOW TO PARTICIPATE', 'EXPERTS', and 'SUPPORT'. The left sidebar lists various EU programmes, with 'H2020' highlighted. The main content area, titled 'Calls for Proposals', features a search filter for 'Horizon 2020' and a list of calls. One call, 'Societal Challenges FCH2 JU call for proposals 2017', is highlighted with a red box. Below this, there are more calls for proposals, including 'Excellent Science' and 'Societal Challenges'.

RESEARCH & INNOVATION
Participant Portal

European Commission > Research & Innovation > Participant Portal > Calls

FUNDING OPPORTUNITIES | HOW TO PARTICIPATE | EXPERTS | SUPPORT

Search [] LOGIN REGISTER

EU Programmes 2014-2020

Search Topics

Updates

Calls

H2020

3rd Health Programme

Asylum, Migration and Integration Fund

Consumer Programme

COSME

Internal Security Fund - Borders

Internal Security Fund - Police

Justice Programme

Promotion of Agricultural Products

Research Fund for Coal & Steel

Rights, Equality and Citizenship Programme

Union Civil Protection Mechanism

FP7 & CIP Programmes 2007-2013

Calls

Horizon 2020

Advanced search for topics
Calls for tenders on TED

☐ Excellent Science

- ☐ European Research Council (ERC)
- ☐ Future and Emerging Technologies (FET)
- ☐ Marie-Sklodowska-Curie Actions
- ☐ Research Infrastructures

☐ Industrial Leadership

- ☐ Leadership in enabling and industrial technologies (LEIT)
- ☐ Information and Communication Technologies

Status ☒ Calls with forthcoming topics ☒ Calls with open topics ☐ Calls with only closed topics

Sort by ☐ Call title ☐ Call identifier ☐ Publication date Filter a call FILTER

Societal Challenges
FCH2 JU call for proposals 2017
H2020-JTI-FCH-2017-1
Publication date: 17 January 2017

Societal Challenges
H2020-JTI-IMI2-2016-10-
two-stage
H2020-JTI-IMI2-2016-10-
two-stage
Publication date: 21 December 2016

Societal Challenges
SESAR 2020 Exploratory Research
and Very Large Scale
Demonstrations Open Call
H2020-SESAR-2016-2
Publication date: 15 December 2016

Societal Challenges
Clean Sky 2 Call for Proposals 05
H2020-CS2-CFP05-2016-03
Publication date: 01 December 2016

Societal Challenges
Clean Sky 2 Call for Core partners
Wave 4
H2020-CS2-CPW04-2016-01
Publication date: 01 December 2016

Societal Challenges
Shift2Rail JU call for proposals 2017
H2020-S2RJU-2017
Publication date: 10 November 2016

Excellent Science
Call for proposals for ERC

Excellent Science
Call for proposals for ERC Proof of

Societal Challenges
Horizon Prize - Low Carbon Energy

3. EVALUATION PROCESS

SUBMITTING A PROPOSAL

European Commission > Research & Innovation > Participant Portal > Opportunities

HOME FUNDING OPPORTUNITIES HOW TO PARTICIPATE EXPERTS SUPPORT Search LOGIN REGISTER

EU Programmes 2014-2020

Search Topics

Updates

Calls

- H2020
- 3rd Health Programme
- Asylum, Migration and Integration Fund
- Consumer Programme
- COSME
- Internal Security Fund - Borders
- Internal Security Fund - Police
- Justice Programme
- Promotion of Agricultural Products
- Research Fund for Coal & Steel
- Rights, Equality and Citizenship Programme

FP7 & CIP Programmes 2007-2013


Calls

Other Funding Opportunities

TOPIC : Development of fuel cell system technologies for achieving competitive solutions for aeronautical applications

Topic identifier: FCH-01-1-2017
Publication date: 17 January 2017

Types of action: FCH2-RIA Research and Innovation action
DeadlineModel: single-stage
Opening date: 17 January 2017
Deadline: 20 April 2017 17:00:00
Time Zone : (Brussels time)

 Horizon 2020 [Call budget overview](#)

Topic Description

Specific Challenge:
Driven by the demand for optimised aircraft performances, reduced operating and maintenance costs, increased dispatch reliability, reduced greenhouse gas emissions and quieter aircrafts, and the rapid

Topic conditions and documents

Please read carefully all provisions below before the preparation of your application.

1. List of countries and applicable rules for funding: described in [part A of the General Annexes](#)

Submission Service

To access the Electronic Submission Service of the topic, please select the **type of action** that is most relevant to your proposal from the list below and click on the **'Start Submission'** button. You will then be asked to confirm your choice of the type of action and topic, as these cannot be changed in the submission system. Upon confirmation you will be linked to the correct entry point.

To access existing draft proposals for this topic, please login to the Participant Portal and select the My Proposals page of the My Area section.

Type of Action	Research and Innovation action [FCH2-RIA]	START SUBMISSION
Topic	Development of fuel cell system technologies for achieving competitive solutions for aeronautical applications - FCH-01-1-2017	

CALL BUDGET

TOPIC DESCRIPTION

TOPIC CONDITIONS

SUBMISSION

3. EVALUATION PROCESS AND FVC SUBMITTING A PROPOSAL

Process
Overview

LOGIN

FUNDING SCHEME

CREATE DRAFT

PARTIES


EDIT PROPOSAL


SUBMIT


Step 3

Create a Draft Proposal

H2020-JTI-FCH-2017-1

 USER NAME
Georgiana BUZNOSU

 TOPIC
FCH-01-1-2017

 TYPE OF ACTION
FCH2-RIA

THU
20

 DEADLINE (Brussels Local Time)
April 2017 17:00:00

87 days left until closure

Check Config

Download Part B Templates



Visit our 'How to' user guide

Visit our 'H2020 Online Manual'

Create a Draft Proposal

Please enter the following information to create a draft proposal. Please note that fields marked with a star (*) are **mandatory**.

Your organisation

PIC*  Short name* 

Search for your organisation PIC

Your Role

Please indicate your role in this proposal

☒ Main contact

☐ Contact person

Your Proposal

Please choose an acronym for your proposal. It will appear also in the "General Information" section of the submission form Part A and can also be updated there.

Acronym* Please restrict acronym to latin characters only

Short Summary (max. 2000 characters)*

Character count:

cancel

Version: 20170104-1136 - Service Desk: DIGIT-EFP7-SEP-SUPPORT@ec.europa.eu (+32 (2) 29 92222)

next >>

Information
pane

Technical
Annex –
Word docs

3.1 PART B of your PROPOSAL STRUCTURE

Clearing the fog ... on the structure of your proposal:

SECTIONS 1 -3:

Your Proposal
description:

- 1. Excellence
- 2. Impact
- 3. Implementation

SECTIONS 4-5:

- 4. Members of the consortium
- 5. Ethics and security
- Optional annexes: e.g.: letters of support

Plan For
Dissemination And
Exploitation

ONLINE FORMS:

- Admin. data

3.2 PART B of your PROPOSAL THIRD PARTIES

The beneficiaries must have the appropriate resources to implement the action

If it is necessary to implement the action, they may however:

- **Purchase** goods, works and services – best value for money basis
- Use **subcontracting** to implement action tasks – best value for money basis
- Call upon “**linked Third Parties**” to implement action tasks
- Use **in-kind contributions** (resources) provided by third parties against payment or free of charge

3.2 PART B of your PROPOSAL THIRD PARTIES

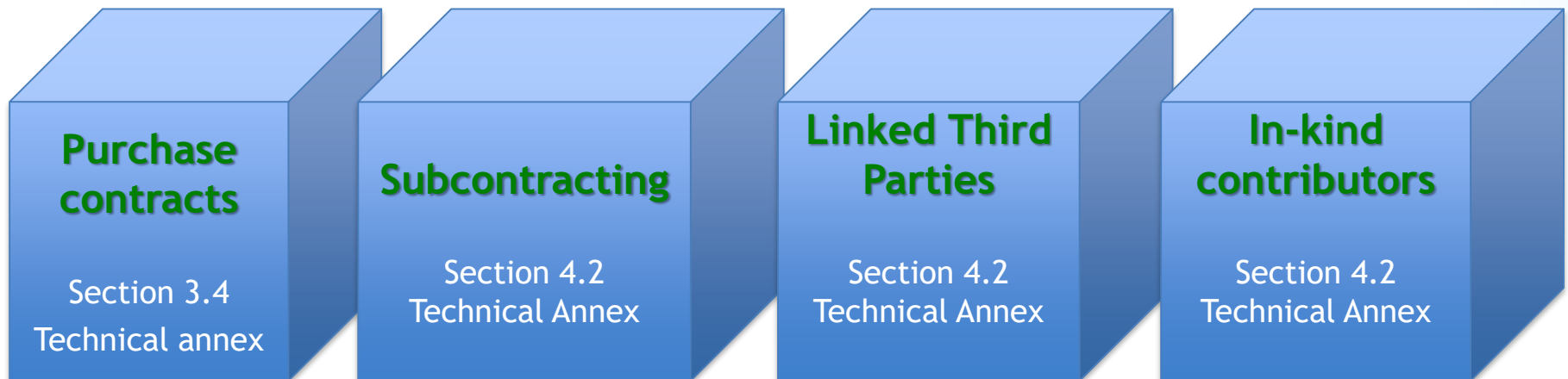
Question:

In applying to Horizon 2020 calls, do all third parties need to explicitly appear in proposals?

ANSWER: yes

In the proposal, the planned involvement of all third parties must be described in the technical annex ("Description of Action" - Part B). The costs from the Part B need to be consistent with the costs you insert in the budget table.

HOW?



3.3 PART B of your PROPOSAL ETHICS

Background :

"A proposal which contravenes ethical principles or any applicable legislation [...] may be excluded from the evaluation, selection and award procedures at any time." Art. 13 – H2020 RfP

Ethics Self-Assessment:

- All proposals must describe ethical issues raised & how they will be addressed
- Where: **Part B - Section 5**
- Each applicant is responsible for:
 - identifying any potential ethical issues
 - handling ethical aspects of their proposal
 - detailing how they plan to address them in detail

Guidelines

[How to complete your ethics self-assessment](#) guide

Think of:
- Personal data
- Third countries
- Environment & Health and Safety
- Dual use
- ...

3.4 PART B of your PROPOSAL

IPRs & Exploitation and dissemination

- **Same principles as in FP7**

for: ownership, protection, exploitation & dissemination

But consider in your proposal:

- **Open access to publications**

Obligation to provide open access to scientific publication

- **Open research data**

- **Access rights**

- **Access rights of affiliates**

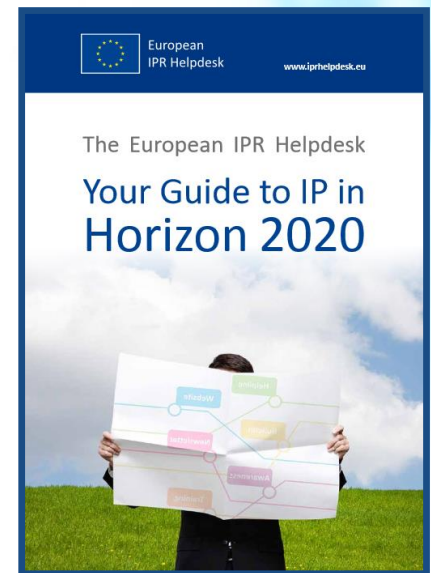
For *background* (art. 25.4) and for *results* (art. 31.4)

Under ‘fair and reasonable conditions’

- **Access rights of the EU institutions**

- For *results* (art. 31.5)
- For developing or implementing EU policies/programmes
- Royalty-free, non exclusive, limited to non-commercial / non-competitive use

Additional exploitation/dissemination obligations (as a separate document; requested by the FCH 2 JU AWP 2017; template available)



- Participant Portal FAQ section

<https://ec.europa.eu/research/participants/portal/desktop/en/support/faq.html>

- H2020 Online Manual

http://ec.europa.eu/research/participants/docs/h2020-funding-guide/grants/applying-for-funding_en.htm

- H2020 documents in Participant Portal

http://ec.europa.eu/research/participants/portal/desktop/en/funding/reference_docs.html

- Ethics in Participant Portal

http://ec.europa.eu/research/participants/docs/h2020-funding-guide/cross-cutting-issues/ethics_en.htm

- IPR in Participant Portal

http://ec.europa.eu/research/participants/docs/h2020-funding-guide/cross-cutting-issues/intellectual-property_en.htm



Fact Sheet

IP Management in Horizon 2020:
at the proposal stage

- European IPR Helpdesk

<https://www.iprhelphdesk.eu/>