

# LEGAL ASPECTS

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## YOUR RIGHTS

e.g.:

- **To receive EU funding**, under the terms and conditions defined in the grant agreement,
- **To own the results** of the project that you have generated
- **To ask for amendments** of the grant agreement (if something needs to be changed)



## YOUR OBLIGATIONS

e.g.:

- **To Implement the project** as planned in the description of the action (Annex 1 to the grant agreement)
- **Submit reports** at the time and for the periods defined in the grant agreement
- **Display the EU emblem and reference to Horizon Europe funding** (e.g. information material, equipment funded by the grant, major results);

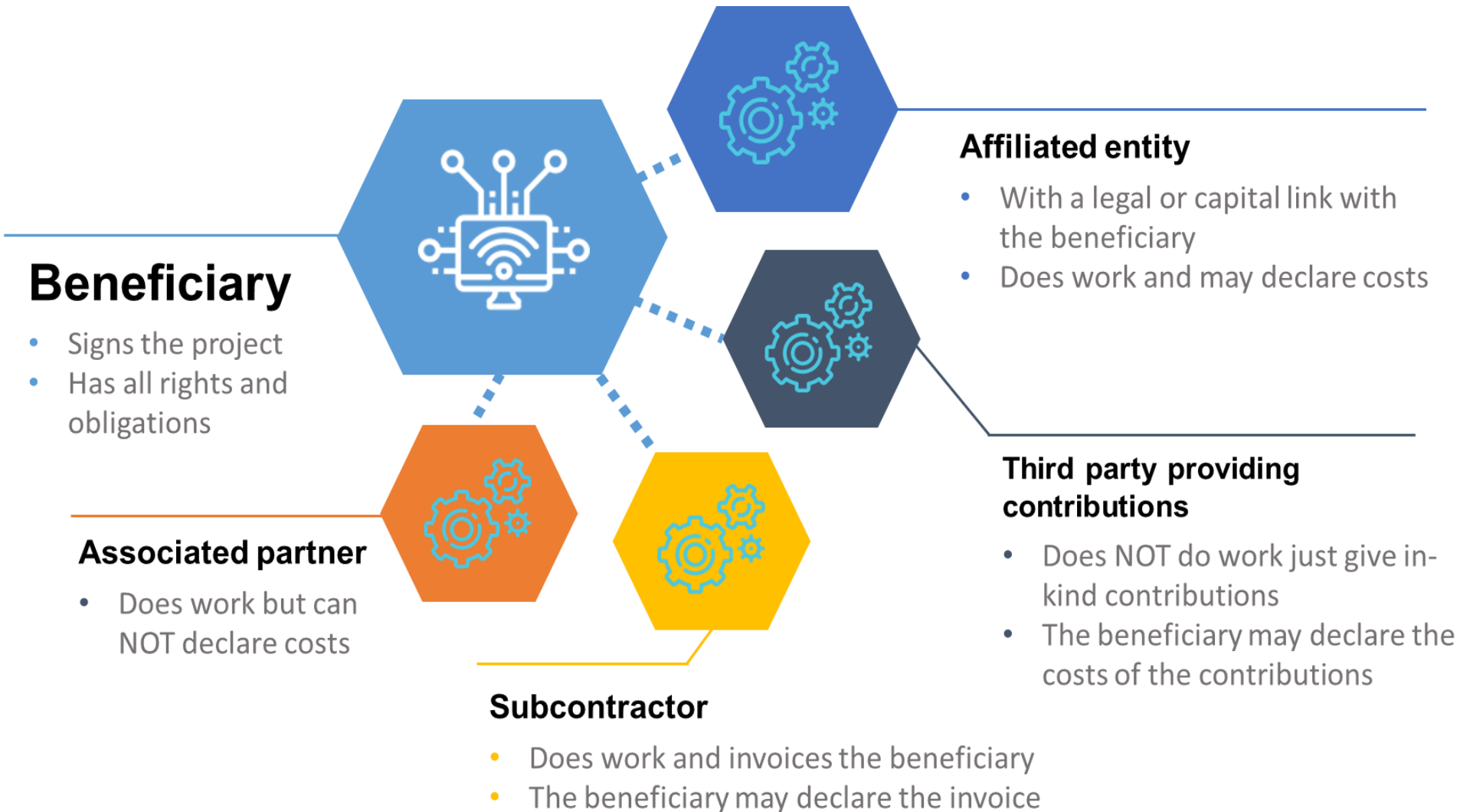


## HOW MUCH MONEY YOU CAN GET

*Overall, the granting authority can never pay*

- **more than the maximum grant amount fixed in the grant agreement.**
- **But: it may pay less;** e.g. if the project costs at the end are less than budgeted

# TYPES OF PARTICIPATION



# Affiliated entities

Article 187 (1)(b) of the EU Financial Regulation:

Entities *'that have a link with the beneficiary, in particular a legal or capital link, which is neither limited to the action nor established for the sole purpose of its implementation'*.

## **Affiliated entities in Horizon Europe = Linked third parties in Horizon 2020**

(alignment of labelling/definition in the corporate context)

# Associated Partner (AP)

- Inherited and derived from the 'International partner' status in H2020 MGA
- Corporate terminology and status with the following features:
  - AP does work but cannot declare costs
  - AP can be linked:
    - either to one or more beneficiaries
    - or with the whole consortium
- The beneficiaries must ensure that some of MGA obligations also applied to AP

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- The beneficiaries must ensure that some of MGA obligations also applied to AP (*i.e. Articles 11 (proper implementation), 12 (conflict of interests), 13 (confidentiality and security), 14 (ethics), 17.2 (visibility), 18 (specific rules for carrying out action), 19 (information) and 20 (record-keeping)*)



## e-GRANT

- The Horizon Europe grant agreement and its management are **fully electronic**.
- This is from the signature of the grant until its end, all actions and communications will flow via the Funding & Tenders Portal ('the Portal').



## CORPORATE STRUCTURE

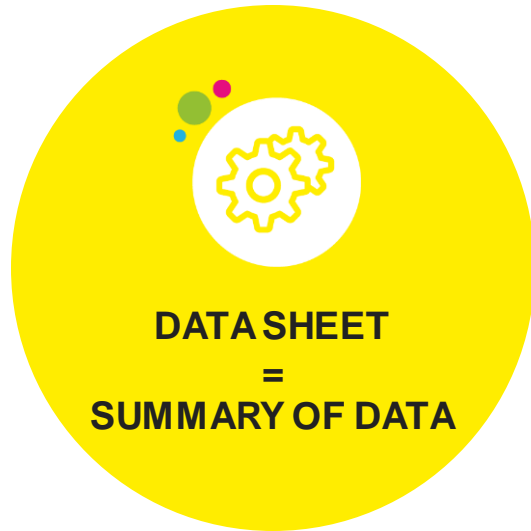
- The Horizon Europe grant agreement is based on a **Commission-wide model** (so-called '**Corporate Model Grant Agreement**')



## SPECIFIC ANNEX 5

Some important Horizon Europe specific rights and obligations are part of this annex 5, like:

- **Security**
- **Ethics**
- **Values** (i.e. gender mainstreaming)
- **IPR**
- **Communication & Dissemination**
- **Open Science**
- **Visibility etc**

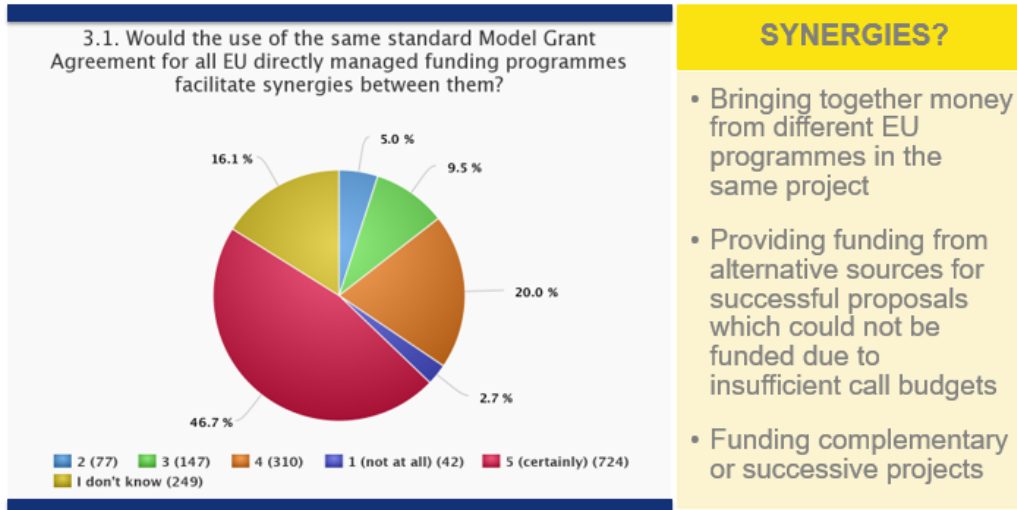


# STRUCTURE OF THE GRANT



## HE online consultation – MGA and synergies

Horizon Europe Co-design – all respondents



A UNIFORM CONTRACT  
THROUGHOUT

ALL

EU FUNDING  
PROGRAMMES

# SYNERGIES – BASIC RULES

- “The Programme shall be implemented in synergy with other Union programmes” [Article 15 (1) HE]
- 3 legal limitations
  1. Non-profit rules
  2. State aid rules: national rules apply
  3. Rules on combination of EU funding
- Rules on combination of funding:
  - a. “An action that has received a contribution from another Union programme may also receive a contribution under the Programme, provided that the contributions **do not cover the same costs.** “(...)
  - b. “The cumulative financing shall not exceed the **total eligible costs** of the action.”

[Article 15(4) HE]

# Find more info at:

1. Funding and tenders opportunities portal, under section “Reference Documents”

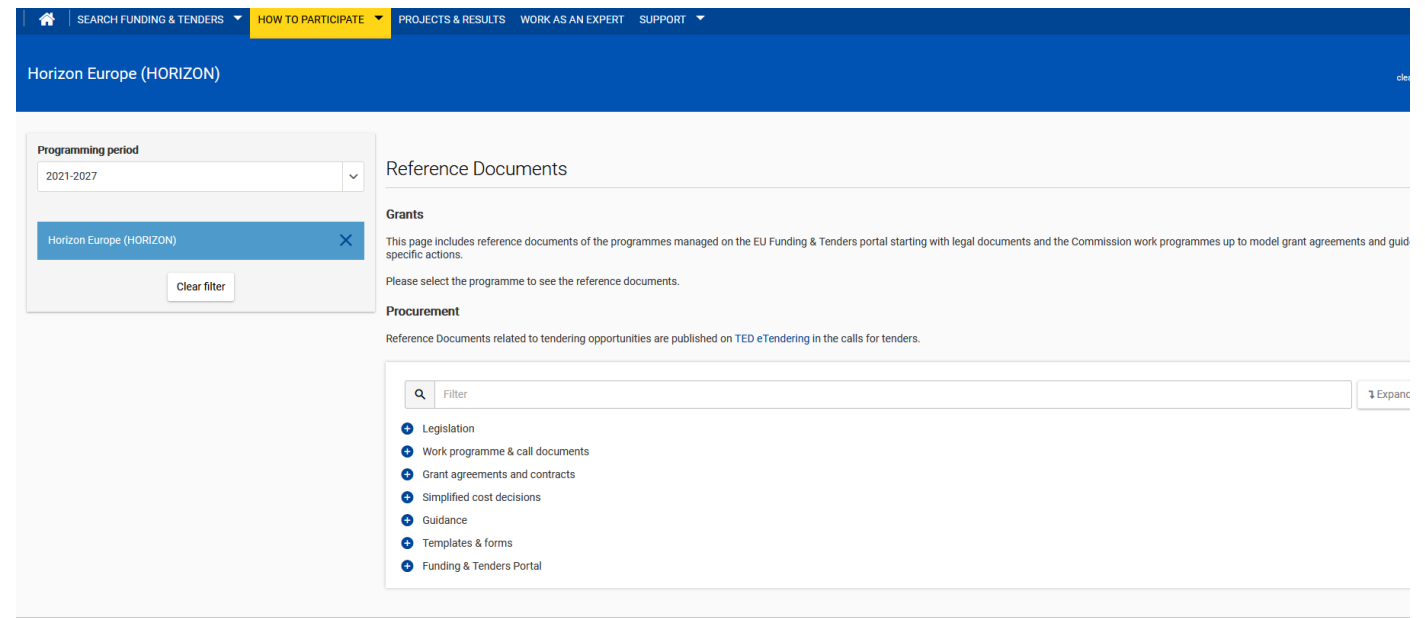
2. More on the legal aspects:  
“Horizon Europe: Legal and  
Financial Aspects”

<https://www.youtube.com/watch?v=wZPjoan9ldo>

3. HE Presentation: [The rules of the game - the Model Grant Agreement](#)

4. Open Science:

<https://op.europa.eu/en/web/eu-law-and-publications/publication-detail/-/publication/9570017e-cd82-11eb-ac72-01aa75ed71a1>



The screenshot shows the Horizon Europe (HORIZON) website interface. The top navigation bar includes links for SEARCH FUNDING & TENDERS, HOW TO PARTICIPATE, PROJECTS & RESULTS, WORK AS AN EXPERT, and SUPPORT. The main content area is titled "Reference Documents" and is divided into two sections: "Grants" and "Procurement". The "Grants" section includes a "Programming period" dropdown menu set to "2021-2027" and a "Horizon Europe (HORIZON)" filter button. The "Procurement" section includes a search bar with the text "Filter" and a list of categories: Legislation, Work programme & call documents, Grant agreements and contracts, Simplified cost decisions, Guidance, Templates & forms, and Funding & Tenders Portal. A "1 Expand" button is visible next to the search bar.

Questions?  
Join us on Slido - [www.sli.do](https://www.sli.do)  
with the code #InfoDay2023

